



THE INDEPENDENCE PARTY OF NEW YORK
The Reform Party in New York

SEP 20 3 20 11 '98

KENNETH J. HERMAN

State Chairman

Ethics Committee

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September 21, 1998

Office of the General Council
Federal Election Commission
99 E. Street, N.W.
Washington, D.C. 20473

**RE: VIOLATION OF FEDERAL REGULATIONS PERTAINING TO
INDIVIDUAL CONTRIBUTIONS TO A CANDIDATE**

Gentlemen:

I'm writing to inform you of violations of Federal Regulation 11CFR110, Section 110, Part 110.1(1) - copy enclosed and highlighted.

I charge that Rick Lazio, incumbent Republican candidate for re-election in the 2nd Congressional District, Suffolk County, New York has received numerous illegal contributions from individuals in excess of the \$1,000 limit over the course of his past three elections for office as follows:

<u>1993/1994</u> election cycle	\$ 30,676
<u>1995/1996</u> election cycle	108,917
<u>1997/1998</u> election cycle (as of 9/14/98)	<u>70,389</u>
TOTAL ILLEGAL CONTRIBUTIONS TAKEN	\$209,982

As evidence of these violations, enclosed are printouts of Congressman Lazio's individual campaign donations (highlighted for your convenience) which were extracted from the FECInfo database on the Internet.

The law pertaining to individual contribution limits was enacted in 1974: Amendment to the Federal Election Campaign Act, Statute 441A.

Very truly yours,

Kenneth J. Herman
Independence Party Candidate
2nd C.D., Suffolk County, NY

[Code of Federal Regulations]
[Title 11, Volume 1]
[Revised as of January 1, 1998]
From the U.S. Government Printing Office via GPO Access
[CITE: 11CFR110]

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TITLE 11--FEDERAL ELECTIONS

CHAPTER I--FEDERAL ELECTION COMMISSION

PART 110--CONTRIBUTION AND EXPENDITURE LIMITATIONS AND PROHIBITIONS

Sec.

- 110.1 Contributions by persons other than multicandidate political committees (2 U.S.C. 441a(a)(1)).
- 110.2 Contributions by multicandidate political committees (2 U.S.C. 441a(a)(2)).
- 110.3 Contribution limitations for affiliated committees and political party committees; Transfers (2 U.S.C. 441a(a)(5), 441a(a)(4)).
- 110.4 Prohibited contributions (2 U.S.C. 441e, 441f, 441g, 432(c)(2)).
- 110.5 Annual contribution limitation for individuals (2 U.S.C. 441a(a)(3)).

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- 110.6 Earmarked contributions (2 U.S.C. 441a(a)(8)).
- 110.7 Party committee expenditure limitations (2 U.S.C. 441a(d)).
- 110.8 Presidential candidate expenditure limitations.
- 110.9 Miscellaneous provisions.
- 110.10 Expenditures by candidates.
- 110.11 Communications; advertising (2 U.S.C. 441d).
- 110.12 Candidate appearances on public educational institution premises.
- 110.13 Candidate debates.
- 110.14 Contributions to and expenditures by delegates and delegate committees.

Authority: 2 U.S.C. 431(8), 431(9), 432(c)(2), 437d(a)(8), 438(a)(8), 441a, 441b, 441d, 441e, 441f, 441g and 441h.

Sec. 110.1 Contributions by persons other than multicandidate political committees (2 U.S.C. 441a(a)(1)).

(a) Scope. This section applies to all contributions made by any person as defined in 11 CFR 100.10, except multicandidate political committees as defined in 11 CFR 100.5(e)(3) or entities and individuals prohibited from making contributions under 11 CFR 110.4 and 11 CFR parts 114 and 115.

(b) Contributions to candidates; designations; and redesignations.

(1) No person shall make contributions to any candidate, his or her authorized political committees or agents with respect to any election for Federal office which, in the aggregate, exceed \$1,000.

(2) For purposes of this section, with respect to any election means--

(i) In the case of a contribution designated in writing by the contributor for a particular election, the election so designated. Contributors to candidates are encouraged to designate their contributions in writing for particular elections. See 11 CFR 110.1(b)(4).

(ii) In the case of a contribution not designated in writing by the